



Defense Contract Management Agency (DCMA)  
3901 A Ave, Fort Lee, VA 23801  
Project Duration: May 2012 - April 2017

In compliance with DoD's Financial Improvement Audit Readiness (FIAR) guidance, *for the past five years*, we have been performing annual internal IT Security Control Test and Evaluations of a GSS and three financial application systems which process over \$1.9 Trillion of transactions per year. The systems have over 15,000 end users within the U.S. and in all continents of the World. The scope includes Test of Design (TOD) and Test of Effectiveness (TOE) for the above systems (and related systems, in other DoD agencies) in support of DCMA's Audit Readiness initiative. The test and evaluation was based upon FISCAM control sets and, where applicable, related NIST standards for each FISCAM control. IT control families assessed consist of: Security Management; Access Controls; Configuration Management; Segregation of Duties; Contingency Planning; Database Management and Application Level General Controls.

We perform control assessments on both virtual /physical assets annually and provide supporting test plans, test sheets, assessment work papers, findings and recommendations to DCMA CIO and the DoD Under Secretary of Defense (OUSD) for Acquisition.

Areas addressed by the independent test and evaluation include, but are not limited to, the Test of Design and effectiveness of:

- Business Impact Assessment
- Information System Contingency Plans
- Security Assessment Plans
- Hardware and Software Inventory Accuracy
- Security Assessment Reports

- Configuration Management Plans
- Plan of Actions & Milestones
- Vulnerability Scan Results

The project has been successful and has met all performance expectations. Key achievements and deliverables include, but are not limited to, the following:

- Established and implemented a repeatable and measurable *internal* control assessment program for annual IT security controls assessments in alignment with DoD Instructions, FIAR Guidance and FISCAM and NIST standards.
- Validated the remediation of all assessment deficiencies resulting in a high maturity level for internal IT security controls and IT policies and procedures at DCMA.
- Received outstanding results in all independent annual external SSAE No. 16 assessments of the effectiveness of IT controls by independent *external* auditors.
- Was instrumental in the agency receiving an award of appreciation & excellence, from DoD's Under Secretary of Acquisition, for the quality and timeliness of the Program's implementation.

#### **CUSTOMER POINT OF CONTACT**

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*[Note: Apex has been providing the above services as a subcontractor to GMG Management Consulting]*